



Europäisches  
Patentamt

European  
Patent Office

Office eur péen  
des brevets



RECEIVED

AUG 30 2002

TECH CENTER 1600/2900

Bescheinigung

Certificate

Attestation

Die angehefteten Unterla-  
gen stimmen mit der  
ursprünglich eingereichten  
Fassung der auf dem näch-  
sten Blatt bezeichneten  
europäischen Patentanmel-  
dung überein.

The attached documents  
are exact copies of the  
European patent application  
described on the following  
page, as originally filed.

Les documents fixés à  
cette attestation sont  
conformes à la version  
initialement déposée de  
la demande de brevet  
européen spécifiée à la  
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

99200256.8

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R C van Dijk

DEN HAAG, DEN  
THE HAGUE, 09/08/02  
LA HAYE, LE



**THIS PAGE BLANK (USPTO)**



Eur päisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

**Blatt 2 d r B scheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

Anmeldung Nr.:  
Application no.: 99200256.8  
Demande n°:

Anmeldetag:  
Date of filing: 28/01/99  
Date de dépôt:

Anmelder:  
Applicant(s):  
Demandeur(s):  
Biomedical Primate Research Centre  
2288 GJ Rijswijk  
NETHERLANDS

Bezeichnung der Erfindung:  
Title of the invention:  
Titre de l'invention:

Composition and method for obtaining specific immunisation against one or more antigens using different recombinant vectors

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:  
State:  
Pays:

Tag:  
Date:  
Date:

Aktenzeichen:  
File no.  
Numéro de dépôt:

Internationale Patentklassifikation:  
International Patent classification:  
Classification internationale des brevets:

A61K48/00, A61K39/00, A61K39/39

Am Anmeldetag benannte Vertragsstaaten:  
Contracting states designated at date of filing:  
Etats contractants désignés lors du dépôt:

AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE

Bemerkungen:  
Remarks:  
Remarques:

See for title page 1 of the description

**THIS PAGE BLANK (USPTO)**

28. 01. 1999

Title: Product and method for obtaining specific immunisation against one or more antigens.

#### FIELD OF THE INVENTION

The invention lies in the field of medicine. More particularly the invention relates to vaccines, vaccine  
5 compositions and vaccination strategies for obtaining improved immune protection against antigens.

#### BACKGROUND OF THE INVENTION

10 The ultimate goal of developing prophylactic and/or therapeutic vaccines for a large number of infectious diseases has been difficult to achieve due to the inability to induce optimal immune responses to the pathogen in a safe and effective manner. The previously tried and proven  
15 approaches of vaccination with whole killed or live attenuated viruses are either unsafe or ineffective for the remaining infectious diseases of major public health concern. To avoid possible safety problems it has been possible to develop protein based vaccines consisting of one or several  
20 individual viral proteins or epitopes thereof. These are derived from individual viral genes expressed in vitro and purified as individual subunits in the protein in the absence of genetic material. Recombinant subunit vaccine approaches have proven effective for certain pathogens such as Hepatitis  
25 B. However, for many applications subunit antigens have been unsuccessful due to expression/production difficulties, alteration of relevant immunological epitopes or marked variability of the pathogen requiring the continuous development, fermentation and purification of new antigens.

30 Recombinant live viral or bacterial vaccine vectors were developed as potential solutions to some of these problems. A replicating live virus or bacteria which does not cause disease has the potential to be used as a vector. Attenuated viruses such as adenovirus, pox virus ( i.e. vaccinia, MVA,

canary or fowlpox) or bacteria such as *E. coli*, are being developed and evaluated as live vectors. Due to their ability to replicate (in some cases in a limited fashion) in a host without serious side effects, makes them candidate to carry and express foreign genes as "vaccine" antigens. Recombinant vaccines have the advantage that they replicate in the host and thereby induce stronger immune responses than whole killed viruses or bacteria or subunit proteins. An additional advantage is that an immune response to an antigen encoded by said vector, may be improved by the stimulation of the immune system through the presence or the expression of additional proteins, for instance vector specific proteins for instance through providing adjuvant function. However, relatively few recombinant vector systems alone have been successful enough to be widely accepted for clinical use. Major problems other than safety have been pre-existing immunity in the case of vectors derived from infectious agents common in populations. Furthermore, subsequent immune responses against vector proteins themselves have created a further immunological barrier when more than one immunisation was required to boost responses to the recombinant vaccine antigen(s). One problem is that the immune system may mount an immune response against vector or vector encoded proteins together with an immune response against the antigen, designated the vaccination antigen, the immune response was intended to be directed toward in order to provide the host protection. The observation that the immune system may mount an immune response against a vector protein or a vector encoded protein creates a potential for competition for immune resources such as the availability of immune cells and/or cytokines, thereby lowering the desired response against vaccination proteins (see for example figure 1A). Another problem is the potential for more immunogenic antigens present in vector proteins or vector encoded proteins directing the immune response away from vaccination proteins. Additionally, immune responses against the vector eventually limit vector replication in the

host, thereby reducing the vectors intended purpose and effectiveness. A problem that specifically increases upon boosting of the immune response with the same or a similar vector or vector system. For instance, the use of different  
5 adenovirus serotypes comprising nucleic acid encoding similar vaccination proteins as vaccines is not optimal since the immune system will still be boosted against common antigens present in vector proteins and/or vector encoded proteins. A possible method to avoid this problem is to boost immune  
10 responses induced by the recombinant vectors with subunit protein. Several studies have shown that immune responses can be slightly improved by this method but that there is not an improvement in the ability of the vaccine to protect from infection.

15

#### SUMMARY OF THE INVENTION

The present invention provides novel means and methods for obtaining a specific immune response in an individual or  
20 animal. The invention further provides means and methods for decreasing the negative effects of vector proteins and/or vector encoded proteins while leaving desired effects, such as an adjuvant effect of said proteins at least in part intact (see for a non-limiting example the scheme depicted in  
25 figure 1B).

In one aspect the invention provides a product for vaccinating an animal or a human to obtain therein an immune response against at least one antigen, comprising at least two different vaccine compositions for sequential  
30 administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

In another aspect the invention provides a method for  
35 vaccinating an animal or human to obtain therein an immune response against at least one antigen, comprising

administering sequentially to said animal, at least two different vaccine compositions, each containing at least said antigen or a precursor thereof and wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

In yet another aspect the invention provides a use of an antigen, or a precursor thereof, for manufacturing a vaccine composition for vaccinating an animal or a human to obtain therein an immune response against said antigen, wherein said vaccine composition is administered sequentially with at least one other vaccine composition containing at least an immunogenic part, derivative and/or analogue of said antigen or antigen precursor, and a different vector.

#### DETAILED DESCRIPTION OF THE INVENTION.

In one aspect the invention provides a solution to circumvent the negative effects associated with vector proteins or vector encoded proteins in a vaccination procedure or a vaccine composition. To study problems associated with amplification of an immune response against vector proteins and/or vector encoded proteins a strategy was developed in which the use of different vector systems, to consecutively deliver the same or related antigen(s), was evaluated. The potential existed not only to substantially boost immune responses to the recombinant antigen, but to tailor the nature of the immune responses by priming and then delivering subsequent boosts to different immunological sites and/or antigen presenting cell populations. Indeed, the ability to induce preferred type-1 or type-2 like T-helper responses or to additionally generate specific responses at mucosal and/or systemic sites can be foreseen with such an approach.

The present invention provides means and methods for obtaining a specific immune response against at least one



antigen in an animal, in a vaccination procedure comprising a serial administration to said animal of at least two vaccine compositions comprising at least said antigen or a precursor thereof, by avoiding at least in part amplification of an immune response in said animal against vector antigens that may be present in one or more of said vaccine compositions or that may be encoded by nucleic acid present in one or more of said vaccine compositions or both. By at least in part avoiding said amplification of an immune response against vector antigens in said animal, potential masking of an immune response against said antigen is at least in part prevented. One method of avoiding at least in part an amplification of an immune response against vector antigens in said animal is to avoid at least in part the presence of vector antigens in said animal during said vaccination procedure. This may be achieved for instance by avoiding the presence of vector antigens in at least one of said vaccine compositions or by avoiding at least in part, expression of vector antigens encoded by a nucleic acid in a vaccine composition, or both. Preferably, amplification of an immune response in said animal or human against vector antigens is at least in part prevented by using for said serial administration of vaccine compositions, vaccine compositions comprising different vectors. Another preferred method of avoiding amplification of an immune response against vector antigens in said vaccination procedure is to use at least one vaccine composition useful for avoiding the presence of vector antigens in said animal and at least one vaccine composition comprising a vector. Preferably, when more than one vaccine composition comprising a vector is used, said vector in said vaccine composition is essentially different.

A process for vaccinating an animal or human may be any vaccination process provided that said process utilises serial administration of vaccine compositions containing at least an antigen or a precursor thereof, against which said animal or human should at least in part be vaccinated.

Vaccine compositions are preferably administered to said animal or human in an amount effective for eliciting an immune response in said animal or human. Vaccination does not necessarily need to result in complete protection against pathogens or cells comprising said antigen, a partial protection may also be a favourable result, for instance for weak immunogenic antigens.

Said antigen may be a complete protein or a part of a protein. Said antigen may also be a proteinaceous molecule, derived from nature or synthesised chemically.

In one embodiment of the invention said animal is a human.

In one embodiment the invention provides a product for vaccinating an animal or a human to obtain therein an immune response against at least one antigen, comprising at least two different vaccine compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

Preferably said product comprises at least three of said compositions and wherein at least three of said vaccine compositions differ from each other by the presence therein of a different vector.

In one embodiment at least part of, said vector or a product thereof, functions as an adjuvant. An adjuvant in the context of the present invention is any molecule or combination of molecules, capable of modulating an immune response against said antigen. In one example an adjuvant has the capability to stimulate the immune system in said animal to elicit an immune response wherein said stimulation also stimulates the initiation or the amplification of an immune response against said antigen. In one example, an adjuvant is a classical adjuvant such as complete or incomplete freund adjuvant. In another example said adjuvant is a proteinaceous molecule immunologically different from said antigen, capable of eliciting an immune response in said animal or human.

Preferably said proteinaceous molecule comprises at least a functional part of a co-stimulatory molecule such as CD80, CD86, CD28, CD152, CD40 or CD40 ligand; of a cell-adhesion protein; of an immune response inhibitory protein; of an interleukin; of a major histocompatibility complex protein or of other proteins capable of modulating an immune response. An immune response may be modulated through at least in part inhibiting or preventing an immune response and/or at least in part inducing or enhancing an immune response.

In a preferred aspect of the invention vaccination is performed together with a method for influencing at least in part immune system, for example in the direction of a preferred T helper 1 type of immune response or a more T helper 2 type of immune response. It is now widely accepted that T cell-dependent immune responses can be classified on the basis of preferential activation and proliferation of two distinct subsets of CD4<sup>+</sup> T-cells termed T<sub>H</sub>1 and T<sub>H</sub>2. These subsets can be distinguished from each other by restricted cytokine secretion profiles. The T<sub>H</sub>1 subset is a high producer of IFN- $\gamma$  with limited or no production of IL-4, whereas the T<sub>H</sub>2 phenotype typically shows high level production of both IL-4 and IL-5 with no substantial production of IFN- $\gamma$ . Both phenotypes can develop from naive CD4<sup>+</sup> T cells and at present there is much evidence indicating that IL-12 and IFN- $\gamma$  on the one hand and IL-4 on the other are key stimulatory cytokines in the differentiation process of pluripotent T<sub>H</sub>0 precursor cells into T<sub>H</sub>1 or T<sub>H</sub>2 effector cells, respectively, *in vitro* and *in vivo*. Since IFN- $\gamma$  inhibits the expansion and function of T<sub>H</sub>2 effector cells and IL-4 has the opposite effect, the preferential expansion of either IFN- $\gamma$  producing cells (pc) or IL-4 pc is indicative of whether an immune response mounts into a T<sub>H</sub>1 or T<sub>H</sub>2 direction. The cytokine environment, however, is not the only factor driving T<sub>H</sub> lineage differentiation. Genetic background, antigen dose, route of antigen administration,

type of antigen presenting cell (APC) and signalling via TCR and accessory molecules on T cells.

In a preferred aspect of the invention the immune system is directed toward a more T helper 1 or 2 type of immune

5 response through using vectors with the property of modulating an immune response in one direction or the other. In a preferred aspect of the invention at least part of said adjuvant function comprises means for directing the immune system toward a more T helper 1 or 2 type of immune response.

10 Preferably through using vectors with the property of modulating an immune response in one direction or the other. Examples of vectors with the capacity to stimulate either a more T helper 1 or a more T helper 2 type of immune response or of delivery routes such as intramuscular or epidermal  
15 delivery can be found in Robinson 1997, Vaccine 15:785-787; Sjolander et al 1997, Cell. Immunol. 177:69-76; Doc et al 1996, Proc. Natl. Acad. Sci. USA 93:8578-8583; Feltquate et al 1997, J. Immunol. 158:2278-2284; Pertmer et al 1996, J. Virol 70:6119-6125; Prayaga et al, Vaccine 15:1349-1352; Raz  
20 et al 1996, Proc. Natl. Acad. Sci. USA 93:5141-5145.

In one aspect at least one of said vectors comprises antigen presenting cells, preferably engaged in vivo but also in vitro from said animal. Preferably said antigen presenting  
25 cells are dendritic cells. Preferably said antigen presenting cells present said antigen, or an immunogenic part, such as a peptide, or derivative and/or analogue thereof, in the context of major histocompatibility complex I or complex II.

In a preferred embodiment at least one of said  
30 compositions comprises as an antigen precursor a nucleic acid encoding at least one proteinaceous molecule for inducing and/or boosting an immune response against said antigen. In a preferred embodiment said nucleic acid is capable of replicating in a cell of the animal or human being  
35 vaccinated. With the term boosting in this respect is meant amplifying an immune response such, that when said animal is

exposed to said antigen after the amplification, the immune response to said antigen is increased in magnitude compared to before said amplification. Said proteinaceous molecule for inducing and/or boosting an immune response against said antigen may be said antigen or an immunogenic part, derivative or analogue thereof. Alternatively, antigen or an immunogenic part, derivative or analogue thereof may be encoded by a nucleic acid present in said vaccine composition.

10 In a preferred embodiment said antigen is an antigen encoded by a nucleic acid of a pathogen, preferably of a virus, more preferably of a lentivirus or of a hepatitis C virus. In a preferred embodiment said antigen comprises at least an immunogenic part, derivative and/or analogue of a  
15 lentivirus *gag*, *pol*, *rev*, *tat*, *nef* or *env* protein or a combination thereof.

In a preferred embodiment at least part of said adjuvant function by a vector is provided by a nucleic acid which encodes at least one proteinaceous molecule capable of  
20 modulating an immune response. Preferably said nucleic acid is capable of replicating in a cell of the animal of the human being vaccinated. Preferably said proteinaceous molecule capable of modulating an immune response comprises a functional part of a co-stimulatory molecule such as CD80,  
25 CD86, CD28, CD152, CD40 or CD40 ligand; of a cell-adhesion protein; of an immune response inhibitory protein; of an interleukin; of a major histocompatibility complex protein or of other proteins capable of modulating an immune response.

30 In one embodiment the invention provides vaccine compositions wherein said vector is nucleic acid delivery vehicle comprising said nucleic acid. In a preferred embodiment said nucleic acid is capable of replicating in a cell of an animal or human being vaccinated. In a preferred  
35 embodiment said replicated nucleic acid has at least a limited capacity to spread to other cells of the host and

start a new cycle of replication and antigen presentation and/or present adjuvant function. In a preferred embodiment said nucleic acid comprises nucleic acid of a Semliki Forest Virus, a pox virus, a herpes virus and/or an adenovirus. In a preferred embodiment said nucleic acid delivery vehicle is a Semliki Forest Virus particle, a pox virus particle, a herpes virus particle or an adenovirus particle.

In another embodiment the invention provides a method for vaccinating an animal to obtain therein an immune response against at least one antigen, comprising administering sequentially to said animal, at least two different vaccine compositions, each containing at least said antigen or a precursor thereof and wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector. Preferably said animal is a human.

In yet another embodiment the invention provides a use of a vaccine composition in a method or a product of the invention.

In yet another embodiment the invention provides a use of an antigen, or a precursor thereof, for manufacturing a vaccine composition for vaccinating an animal or a human to obtain therein an immune response against said antigen, wherein said vaccine composition is administered sequentially with at least one other vaccine composition containing at least an immunogenic part, derivative and/or analogue of said antigen or antigen precursor, and a different vector.

As proof of principle we undertook a vaccine efficacy study comparing one vector system alone, two different combinations of two different vector systems, and the use of three different vectors administered sequentially. All

vectors used to immunise animals expressed similar SIV<sub>mac</sub> antigens. Two months following the last immunisation animals were challenged intravenously with a highly pathogenic SIV<sub>mac.1XC</sub> inoculum and followed for evidence of protection.

5

## EXAMPLES

### MATERIALS AND METHODS

#### 10 Study population

The study was carried out in outbred rhesus monkeys (*Macaca mulatta*). Four groups of 4 animals and 1 group of 3 animals (19 rhesus monkeys in total) were studied. Each animal was identified by a unique animal number tattooed on the chest). The animals were derived from Indian genetic stock and purpose bred in captivity either in the USA (groups A, B, C, D, E) or the Netherlands (group F). Their age ranged from 2.5 to 3 years (groups A, B, C, D, E) or 10 to 11 years (group F). Their weights ranged between 2.7 and 3.9 kg (groups A, B, C, D, E) or 5.2 to 9.1 kg (group F). The animals were negative for SIV, STLV, SRV and had no previous immunosuppressive treatment. During the experiment all animals were housed separately in individual cages.

Three different vector systems were utilised, each containing the same genetic information for SIV *gag/pol*, *rev*, *tat*, *nef* and *env*. The vectors consisted of a bacterial plasmid based DNA expression vector, modified Vaccinia Virus Ankara (MVA) and Semliki Forest Virus (SFV). The first group (A) consisted of four animals immunised with SIV-MVA chimerics alone. Secondly, the immune responses obtained after immunisation with the DNA expression vectors and two boosters with either MVA-SIV (group B) or SFV-SIV (group C) vectors were compared to those obtained with a triple vector strategy; priming by immunisation first with DNA expression vectors, 1st booster with the MVA-SIV constructs, then 2nd booster with the SFV-SIV constructs (group D). The virus

loads (by quantitative RNA PCR) were studied before and after virulent SIV challenge. Animals were challenged intravenously with a cell-associated SIV challenge stock (1XC).

In addition to the animals vaccinated *de novo*, 3 monkeys  
5 protected from a previous SIV vaccine study served as "protein primed" vector boost group (group F). They first received a boost with MVA-SIV, followed by SFV-SIV constructs.

## 10 Experimental design

Group A: One group of 4 animals immunised three times with MVA vectors expressing SIV *gag/pol*, *rev*, *tat*, *nef* and *env* administered intramuscularly.

15 Group B: One group of 4 animals immunised first intradermally with the DNA vectors expressing SIV *gag/pol*, *rev*, *tat*, *nef* and *env*, then boosted twice intramuscularly with MVA chimerics expressing similar  
20 SIV genes.

Group C: One group of 4 animals immunised with the DNA vectors expressing *gag/pol*, *rev*, *tat*, *nef* and *env* of SIV and boosted twice intravenously with SFV-SIV  
25 recombinant vectors expressing similar SIV genes.

Group D: One group of 4 animals vaccinated with the DNA expression vectors, boosted first with MVA-SIV chimerics and then with the SFV-SIV constructs.

30 Group E: One group of 4 control animals injected with empty DNA, and with the empty MVA and SFV vectors as infection controls.

35 Group F: One group of 3 animals which had proved to be protected from challenge in a previous study with a



protein vaccine, then to be boosted first with the MVA-SIV chimerics, then with the SFV-SIV constructs.

#### DNA expression vector based vaccines

5 Vectors pTH.UbgagPk, pTH.UbpolPk, pTh.UbnefPk, pTH.tat, and pTH.rev express the *gag*, *pol*, *nef*, *tat* and *rev* genes of SIV<sub>macJ5</sub> (Rud et al., 1994) under control of the human cytomegalovirus immediate-early (hCMV IE) enhancer/promotor (Hanke et al., 1998a). The vector pTH and cloning sites have  
10 been described previously (Hanke et al., 1998a; 1998b) in which the hCMV enhancer/promotor/intron A is cloned into the MluI and HindIII sites and the individual SIV<sub>macJ5</sub> genes *tat* and cloned between HindIII and XbaI. Two vectors pTH.tat and pTH.rev contain the respective *rev* genes into the BamHI site  
15 without upstream Ub-R. The SIV<sub>macJ5</sub> molecular clone was used as the source of these genes as previously described (Rud et al., 1994; Rhodes, A.D. et al., 1994; and Hanke et al., 1994). Vector pND14-G1 expresses the SIV<sub>mac239</sub> envelope gp120 coding sequence under control of the hCMV IE  
20 enhancer/promotor and the simian D type retrovirus 1 (SRV-1) cis sequence was cloned between the gp120 gene and the BGH poly A/terminator region (Rhodes, G.H. et al., 1994; Indraccolo et al., 1998). All constructs contain the hCMV intron A sequence 5' of the expressed genes, in order to  
25 increase expression from the hCMV enhancer/promotor sequence, and carry the bovine growth hormone (BGH) polyA signal/terminator sequence. Each different DNA vector SIV construct was administered separately at a dose of 50 µg of DNA in 200 µl of saline with 1/2 of the volume injected into  
30 two separate sites intradermally.

#### SFV based vaccines

The SFV based vaccines used in this study express the *gag/pol*, *nef*, *tat*, *rev*, and *env* proteins of SIV<sub>mac32H J5</sub>. The  
35 *gag/pol*, *env* and *nef* coding sequences and the *tat* and *rev*

cDNAs from the pJ5 molecular clone of the SIV<sub>mac32H</sub> proviral genome (Rud et al., 1994; Rhodes, A.D. et al., 1994) were subcloned in the pSFV1 vector (Liljeström and Garoff, 1991). The *gag/pol* coding sequences were obtained by PCR

5 amplification to flank these genes by BamHI suitable for subcloning in the pSFV1 vector (Zhang et al., 1997). For packaging of recombinant SFV (rSFV) viral stocks a two-helper system was used (Smerdou and Liljeström, 1999). Virus titres were determined by infection of BHK cells in limiting  
10 dilutions followed by indirect immunofluorescence using antibodies directed against relevant SIV proteins. Expression of the SIV antigens in infected BHK cells was also demonstrated by western blot and immunoprecipitation analysis of metabolically labelled BHK21 cells.

15

#### **MVA based vaccines**

Modified Vaccinia Ankara (MVA) (Sutter and Moss, 1995) recombinants in this study express the *gag/pol*, *nef*, *tat*, *rev*, and *env* genes of SIV<sub>mac J5</sub> (Rud et al., 1994; Rhodes, A.D. et al., 1994) under transcriptional control of P7.5 vaccinia virus early/late promotor (Sutter et al., 1994). Briefly, the *gag/pol*, *env* and *nef* coding sequences and the *tat* and *rev* cDNAs from the SIV<sub>macJ5</sub> molecular clone (Rud et al., 1994; Rhodes et al., 1994) were subcloned in the MVA vector plasmid  
25 pIILzP7.5 at the SmaI site (Sutter and Moss, 1995; Sutter et al., 1994; and Seth et al., 1998) with the exception of *env* which was placed under control of a strong vaccinia vector promotor (Sutter et al., 1994). All of these reagents are stored and accessible through the NIBSC AIDS reagent  
30 repository, Potters Bar, U.K.

#### **Vaccine challenge strain**

The pathogenic, cell-associated SIV stock (SIV<sub>mac32H.1XC</sub>) from primary, uncultured rhesus monkey PBMC "1XC", described  
35 previously (Niphuis et al., 1994), was used as the challenge

virus also described in a previous vaccine study (Heeney et al., 1994).

#### Administration of the vaccines

5 Rhesus monkeys were sedated with ketamin (10 mg/kg, prior to vaccine administration and bleedings. The vaccines were administered either intradermally (DNA vectors) or intramuscularly (MVA) or intravenously (SFV). In particular, 50 µg of each DNA expression vector in 200 µl of saline was  
10 administered per monkey, half of the volume injected into two separate sites. All immunisations with DNA were given twice at 12 week intervals followed by either MVA and/or SFV (see experimental design) at additional 12 week intervals.

#### 15 Virus challenge and follow-up

All animals were challenged 2 months after the last immunisation with 50 MID<sub>50</sub> of the pathogenic cell-associated SIV stock "1XC" administered by the intravenous route (volume: 1 ml/monkey) (Niphuis et al., 1994). Post-challenge  
20 readouts included quantification of plasma viral RNA as described previously (Ten Haaf et al., 1998), and assessment of CD4 T-cell numbers in peripheral blood.

#### Results and Discussion

25 To determine if protective immunity was obtained all animals were challenged with a highly pathogenic in vivo passaged rhesus PBMC stock of SIV<sub>mac32H.1XC</sub>. As observed in figure 2E all of the control animals became readily infected (group E) with peak virus loads at two weeks reaching  $5 \times 10^6$   
30 and  $5 \times 10^7$  RNA Eq/ml and remaining greater than  $1 \times 10^4$  RNA Eq/ml 12 weeks post-infection. All animals in group A, which received MVA-SIV constructs alone, also became infected (Table 1), although one animal had lower peak virus loads and a load lower than  $1 \times 10^4$  RNA Eq/ml by 6 weeks post-infection  
35 (Figure 2A). All animals in group B which received DNA-SIV priming and MVA-SIV boosts also became infected (Table 1),

with high virus loads persisting above pathogenic threshold levels ( $>1 \times 10^5$  viral RNA Eq/ml) after challenge. In group C one out of four animals was protected (Table 1) from infection, although those which became infected were not protected from virus load (Fig 2C).

Satisfactory protection was observed in animals which received three different vaccine vectors (Table 1) with which protection from SIV challenge was obtained in 50% of the animals. Indeed, when animals which were previously protected from challenge were boosted 5 years later with a combination of two vectors (Group F, Table 1), vaccine protection was still observed in one out of three animals. Preliminary data up to week 12 post-infection suggested that immunisation did not sufficiently protect from virus load (Figure 2).

Satisfactory protection against SIV infection was obtained when two or more vector systems were used (groups C, D, and F, Table 1). In group D, immunised with three different vector systems, protection against infection was found in 2 out of four immunised animals (Table 1, Figure 2D). Clearly, the use of one vector system alone for multiple immunisations was insufficient to protect from infection as in the case of MVA/SIV (group A) in this study (Table 1). This failure of protection from infection has been observed in other studies with SFV-SIV used alone for multiple immunisations (Mossman et al., 1996), although protection from acute symptoms (but not chronic disease) was suggested. A vaccine strategy using DNA priming and MVA boosting failed to protect immunised monkeys from infection (group B, Table 1). The use of DNA plus SFV to immunise showed somewhat more promise in which one animal (group C, Table 1, Figure 2C) was protected from infection. The best result against such potent challenges as the SIV<sub>mac32H.1XC</sub> used here was achieved with the use of three different vectors (group D, Table 1, Figure 2D). Further proof was observed when the peripheral blood CD4<sup>+</sup> T-cells numbers were examined (Figure 3). The SIV<sub>mac32H.1XC</sub> used in this study causes a marked decline in CD4<sup>+</sup> T-cell numbers

over time as observed in the control group (E) (Figure 3E) as well as other infected animals in this study. Notably, the animals which were protected from infection by the use of the triple vector strategy (animals BJV and CTC, group D)

5 maintained normal CD4<sup>+</sup> T-cell levels while those of the infected animals declined. This was also noted in the protected animal (8645) in group F (Figure 3F) which has received a triple combination of a protein immunisation followed by MVA and SFV, further supporting this concept.

10 Through further refinement of this strategy, using combinations of different or divergent chimeric vectors, improved levels of vaccine protection are likely.

Furthermore, optimisation of different combinations of vector systems delivered to different sites and populations of  
15 antigen presenting cells will lend this application to mucosal and/or combined mucosal/systemic vaccine strategies. It is envisioned that in addition differential modulation of immune responses (ie type 1 vs type 2 Th responses) and the induction of potent immunological memory will be possible  
20 using combinations of different vaccine vector systems.

Table 1. Experimental group and outcome

Group	"prime"	1st boost	2nd boost	protected
A	MVA-SIV	MVA-SIV	MVA-SIV	0/4
B	DNA-SIV	MVA-SIV	MVA-SIV	0/4 -
C	DNA-SIV	SFV-SIV	SFV-SIV	1/4
D	DNA-SIV	MVA-SIV	SFV-SIV	2/4
E	DNA	MVA	SFV	0/4
F	W.Virus protein "protected"	MVA-SIV	SFV-SIV	1/3

## BRIEF DESCRIPTION OF THE DRAWINGS.

**Figure 1:**

A diagram comparing; (A) existing immunisation strategies with one delivery (i.e. vector) system; (B) the proposed combination of delivery (i.e., multiple vectors) systems. Immune responses to the desired Antigen are optimised and intensified with subsequent boosting with the combination strategy (B) as compared to conventional single delivery systems (A).

**Figure 2**

A comparison of plasma RNA virus loads in immunised and control animals which became infected after challenge with SIV. Figure 2A shows the virus loads in animals which had been immunised repeatedly with the same vector (3x MVA). Figure 2E shows the plasma virus loads in the control animals which were not immunised with any SIV antigen. Post-challenge virus loads for each of the other combination groups; B (DNA, 2x MVA), C (DNA, 2x SFV), D (DNA, MVA, SFV) and F (protein, MVA, SFV) respectively.

**Figure 3**

Comparison of CD4<sup>+</sup> T-cell levels following challenge per group. In infected animals CD4<sup>+</sup> T-cells declined as was especially evident in the control animals (E). The CD4<sup>+</sup> T-cell levels can be observed to remain at normal levels in protected animals, especially BJV and CTC in group D (D) which received the combination immunisation protocol. Groups depicted; A (3x MVA), B (DNA, 2x MVA), C (DNA, 2x SFV), D (DNA, MVA, SFV), E (controls), F (protein, MVA, SFV), respectively.

## Literature

- Berglund, P., Quesada-Rolander, M., Putkonen, P., Biberfeld, G., Thorstensson, R., Liljestrom, P. Outcome of  
 5 immunisation of cynomolgus monkeys with recombinant Semliki Forest virus encoding human immunodeficiency virus type 1 envelope protein and challenge with a high dose of SHIV-4 virus. *AIDS Res Hum Retroviruses* 1997, 13 (17): 1487-1495.
- 10 Haaft, P. ten, Verstrepen, B., Uberla, K., Rosenwirth, B., Heeney, J.L. A pathogenic threshold of virus load defined in Simian Immunodeficiency Virus- or Simian-Human Immunodeficiency Virus-infected macaques. *J. Virology*, 1998, 72: 10281-10285.
- 15 Hanke, T. et al., Expression and purification of nonglycosylated SIV proteins, and their use in induction and detection of SIV-specific immune responses. *AIDS Res. Hum. Retroviruses* 1994, 10(6): 665-674.
- 20 Hanke, T., Blanchard, T.J., Schneider, J., Hannan, C.M., Becker, M., Gilbert, S.C., Hill, A.V., Smith, G.L., McMichael, A. Enhancement of MHC class I-restricted peptide-specific T cell induction by a DNA prime/MVA boost vaccination regime. *Vaccine* 1998a, 16(5): 439-445b.
- 25 Hanke, T., Schneider, J., Gilbert, S.C., Hill, A.V., McMichael, A. DNA multi-CTL epitope vaccines for HIV and *Plasmodium falciparum*: immunogenicity in mice. *Vaccine* 1998b, 16(4): 426-435.
- 30 Heeney, J.L., Els, C. van, Vries, P. de, Haaft, P. ten, Otting, N., Koornstra, W., Boes, J., Dubbes, R., Niphuis, H., Dings, M., Cranage, M., Norley, S., Jonker, M., Bontrop, R.E., Osterhaus, A. MHC class I associated vaccine protection from SIV infected peripheral blood cells. *J Exp Med*, 1994, 180: 769-774.
- 35 Indraccolo, S., Feroli, F., Minuzzo, S., Mion, M., Rosato, A., Zamarchi, R., Titti, F., Verani, P., Amadori, A., Chieco-Bianchi, L. DNA immunisation of mice against



- SIVmac239 Gag and Env using Rev-independent expression plasmids. *AIDS Res. Hum. Retroviruses* 1998, **14**(1): 83-90.
- Liljeström, P., Garoff, H. A new generation of animal cell expression vectors based on the semliki forest virus replicon. *BioTech.* 1991, **9**: 1356-1361.
- 5 Mossman, S.P., Bex, F., Berglund, P., Arthos, J., O'Neil, S.P., Riley, D., Maul, D.H., Bruck, C., Momin, P., Burny, A., Fultz, P.N., Mullins, J.I., Liljestrom, P., Hoover, E.A. Protection against lethal simian immunodeficiency virus SIVsmmPBj14 disease by a recombinant Semliki Forest virus gp160 vaccine and by a gp120 subunit vaccine. *J. Virol.* 1996, **70** (3): 1953-1960.
- 10 Niphuis, H., Dubbes, R., Haaft, P.J.F. ten, Koornstra, W.H., Bontrop, R.E., Cranage, M.P., Heeney, J.L. Infectivity and virulence of cell-associated SIVmac after single passage in vivo. *AIDS*, 1994, **8**: 1730-1731.
- 15 Rhodes, A.D. et al., Expression, characterization and purification of simian immunodeficiency virus soluble, oligomerized gp160 from mammalian cells. *J. Gen. Virol.* 1994, **75**: 207-213.
- 20 Rhodes, G.H., Abai, A.M., Margalith, M., Kuwahara-Rundell, A., Morrow, J., Parker, S.E., Dwarki, V.J. Characterization of humoral immunity after DNA injection. *Dev. Biol. Stand.* 1994, **82**: 229-236.
- 25 Rud, E.W. et al., Molecular and biological characterization of simian immunodeficiency virus macaque strain 32H proviral clones containing nef size variants. *J. Gen. Virol.* 1994, **75**: 529-543.
- 30 Schneider, J., Gilbert, S.C., Blanchard, T.J., Hanke, T., Robson, K.J., Hannan, C.M., Becker, M., Sinden, R., Smith, G.L., Hill, A.V. Enhanced immunogenicity for CD8<sup>+</sup> T cell induction and complete protective efficacy of malaria DNA vaccination by boosting with modified vaccinia virus Ankara. *Nat. Med.* 1998, **4**(4): 397-402.

- Seth, A., Ourmanov, I., Kuroda, M.J., Schmitz, J.E., Carroll, M.W., Wyatt, L.S., Moss, B., Forman, M.A., Hirsch, V.M., Letvin, N.L. Recombinant modified vaccinia virus Ankara-simian immunodeficiency virus gag pol elicits cytotoxic T lymphocytes in rhesus monkeys detected by a major histocompatibility complex class I/peptide tetramer. Proc. Natl. Acad. Sci. U.S.A. 1998, **95**(17): 10112-10116.
- 5
- Smerdou, C., Liljestrom, P. Two-helper RNA system for production of recombinant semliki forest virus particles. J. Virol. 1999, **73** (2): 1092-1098.
- 10
- Sutter, G., Moss, B. Novel vaccinia vector derived from the host range restricted and highly attenuated MVA strain of vaccinia virus. Dev. Biol. Stand 1995, **84**: 195-200.
- Sutter, G., Wyatt, L.S., Foley, P.L., Bennink, J.R., Moss, B.
- 15
- A recombinant vector derived from the host range-restricted and highly attenuated MVA strain of vaccinia virus stimulates protective immunity in mice to influenza virus. Vaccine 1994, **12**(11): 1032-1040.
- Zhang, J., Asselin-Paturel, C., Bex, F., Bernard, J., Chehimi, J., Willems, F., Caignard, A., Berglund, P., Liljestrom, P., Burny, A., Chouaib, S. Cloning of human IL-12 p40 and p35 DNA into the Semliki Forest virus vector: expression of IL-12 in human tumor cells. Gene Ther. 1997, **4** (4): 367-374.
- 20

28. 01. 1999

Claims

1. A product for vaccinating an animal or a human to obtain therein an immune response against at least one antigen, comprising at least two different vaccine compositions for sequential administration to said animal or  
5 said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.
2. A product according to claim 1, comprising at least  
10 three of said compositions and wherein at least three of said vaccine compositions differ from each other by the presence therein of a different vector.
3. A product according to claim 1 or claim 2, wherein at least part of, said vector or a product thereof, functions as  
15 an adjuvant.
4. A product according to claim 3, wherein said adjuvant function directs the immune response toward a more T helper 1 type or a more T helper 2 type of response or both.
5. A product according to anyone of claims 1-4, wherein  
20 at least one of said compositions comprises as an antigen precursor a nucleic acid encoding at least one proteinaceous molecule for inducing and/or boosting an immune response against said antigen.
6. A product according to claim 5, wherein said  
25 proteinaceous molecule comprises said antigen, or an immunogenic part, derivative or analogue thereof.
7. A product according to anyone of claims 1-6, wherein said antigen is a part of or encoded by a virus, preferably a lentivirus or a hepatitis C virus.
- 30 8. A product according to anyone of claims 1-7, wherein said antigen comprises at least an immunogenic part, derivative and/or analogue of a lentivirus gag, pol, rev, tat, nef or env protein or a combination thereof.

9. A product according to anyone of claims 5-8, wherein a vector comprises a nucleic acid which encodes at least one proteinaceous molecule capable of modulating an immune response.

5 10. A product according to claim 9, wherein said proteinaceous molecule capable of modulating an immune response is a co-stimulatory protein, an immune response inhibitory protein, an interleukin, a major histocompatibility complex protein or a functional part, derivative and/or analogue thereof.

11. A product according to anyone of claims 5-10, wherein said vector is nucleic acid delivery vehicle comprising said nucleic acid.

12. A product according to anyone of claims 5-11, wherein  
15 said nucleic acid comprises nucleic acid of a Semliki Forest Virus, a pox virus, a herpes virus and/or an adenovirus.

13. A product according to claim 11 or claim 12, wherein said nucleic acid delivery vehicle is a Semliki Forest Virus particle, a pox virus particle, a herpes virus particle or an  
20 adenovirus particle.

14. A method for vaccinating an animal to obtain therein an immune response against at least one antigen, comprising administering sequentially to said animal, at least two different vaccine compositions, each containing at least said  
25 antigen or a precursor thereof and wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

15. A method according to claim 14, wherein said animal is a human.

30 16. Use of a vaccine composition comprising at least one antigen or a precursor thereof, and a vector, in a product according to anyone of claims 1-13, or a method according to claim 14 or claim 15.

17. Use of an antigen, or a precursor thereof, for  
35 manufacturing a vaccine composition for vaccinating an animal or a human to obtain therein an immune response against said

antigen, wherein said vaccine composition is administered sequentially with at least one other vaccine composition containing at least an immunogenic part, derivative and/or analogue of said antigen or antigen precursor, and a  
5 different vector.

**THIS PAGE BLANK (USPTO)**

28. 01. 1999

Title: Product and method for obtaining specific immunisation against one or more antigens.

Abstract

A large number of recombinant of viral and bacterial systems have been engineered as vectors to express foreign genes for vaccination and/or gene therapy. A common problem is the immune response to the vector itself. The presence of anti-vector immune responses may preclude sufficient "priming" or delivery if pre-existing immune responses are present, or impair optimal "boosting" upon subsequent immunisation or delivery. The invention provides means and methods for vaccinating an animal or a human to obtain therein an immune response against at least one antigen, comprising at least two different vaccine compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

**THIS PAGE BLANK (USPTO)**



28. 01. 1999

## Comparison of strategies

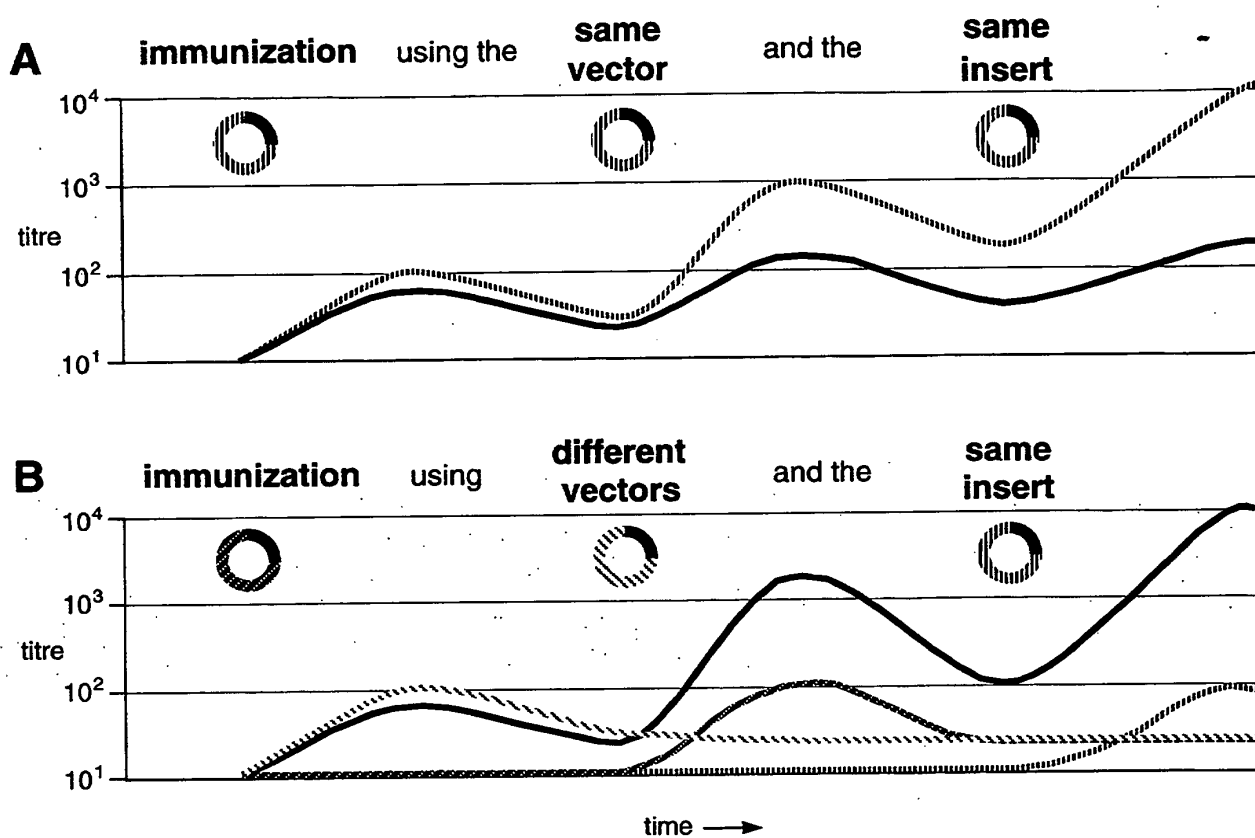


Figure 1

# Plasma virus loads

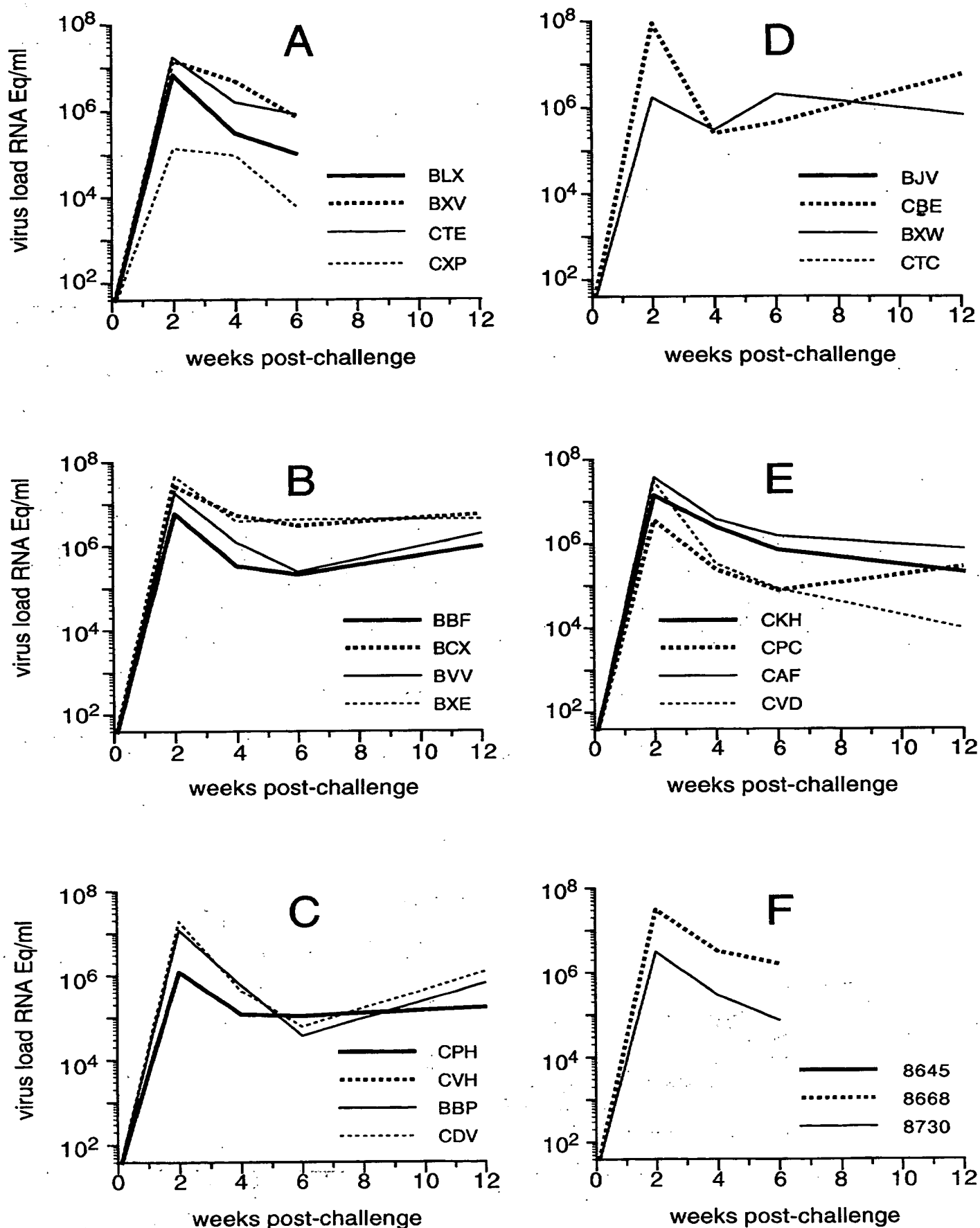


Figure 2

# CD4<sup>+</sup> T-cell levels

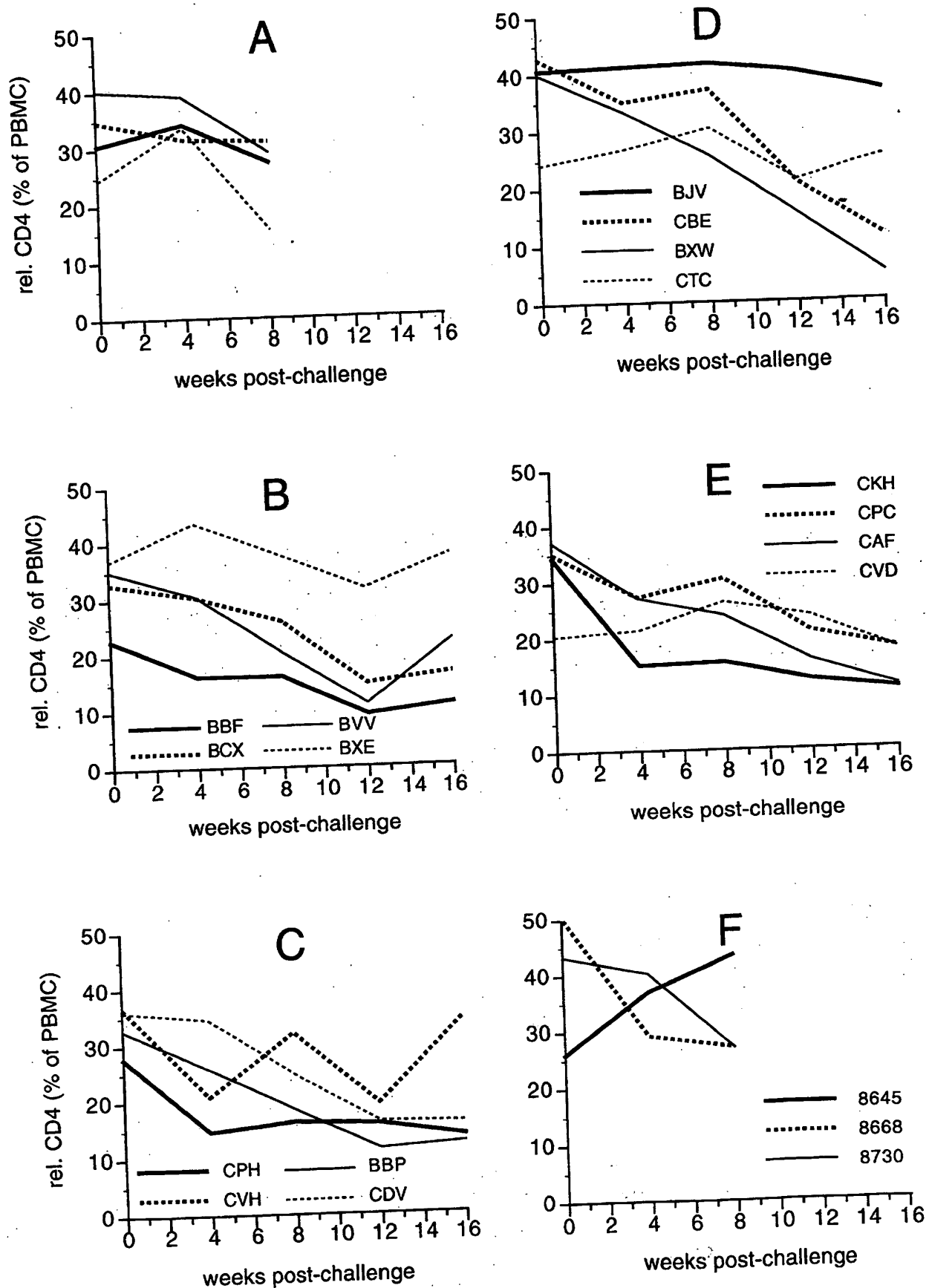


Figure 3

**THIS PAGE BLANK (USPTO)**